

**Wicomico County
Ethics Commission**

FINANCIAL DISCLOSURE STATEMENT

This form is only to be completed by Elected Officials of Wicomico County and Candidates for Elected office in Wicomico County.

I Would Like To Be Notified If Someone Looks At My Form

Instructions:

1. Fill in the preliminary information requested in the box below. Be sure to correctly identify the reporting period.
2. Upon completion of your financial disclosure statement, sign and date the lower portion of the page and make the required oath or affirmation before a notary public or other officer authorized to take oaths.
3. Once all fields and selections are complete, **mail** or **hand deliver** the signed, dated and notarized **original** to:
Paul D. Wilber, Esquire
115 Broad Street, P. O. Box 910
Salisbury, Maryland 21803

Regular Reporting Period: January 1 through December 31, 20__
or
Termination Report: January 1 through _____, 20__

PLEASE PRINT OR TYPE

NAME (First Name, Middle Initial, Last Name)
AGENCY AFFILIATION (INCLUDE DEPARTMENT AND UNIT WHERE APPLICABLE)
CURRENT AGENCY ADDRESS (WHERE YOU CAN BE SENT CORRESPONDENCE)
CURRENT POSITION OR OFFICE HELD WITH COUNTY, IF ANY (OR OFFICE FOR CANDIDACY)
E-MAIL ADDRESS

This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by Chapter 37 of the Wicomico County Code and State Government Article, Title 15, Subtitle 6 of the Maryland Public Ethics Law with respect to the period indicated and pertaining to the person filing the statement. The statement consists of this cover sheet, the checklist, and Schedules A through I.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

(SEAL) Signature of Person Filing: _____
Date: _____
Sworn to before me this _____ day of _____
Signature of Notary Public: _____
Printed/Typed Name of Notary Public: _____
My Commission Expires: _____

Instructions:

Check the proper block to Questions A through I. Do not leave any questions unanswered. If you check "Yes" to any question by sure to complete the corresponding Schedule.

Caution: Please read all instructions on accompanying instruction sheet including all definitions, before completing this form.

- A. I held interests during reporting period in real property located in or outside Maryland. (If "Yes," complete Schedule A.)
- B. I held interests during reporting period in corporations, partnerships and similar entities. (If "Yes," complete Schedule B.)
- C. I held interests in a non-corporate business entity which did business with the County, other than a partnership. (If "Yes", complete Schedule C.)
- D. I received gifts during reporting period from persons doing business with the County, regulated by the County, or registered or required to register as lobbyists. (If "Yes," complete Schedule D.)
- E. I or a member of my immediate family was a partner or held an office, directorship, or salaried employment during reporting period in or with a business entity doing business with the County. (If "Yes," complete Schedule E.)
- F. I, or a member of my immediate family, owed debts (excluding retail credit accounts) during reporting period to persons doing business with the County. (If "Yes," complete Schedule F.)
- G. A member of my immediate family was employed by Wicomico County during reporting period. (If "Yes," complete Schedule G.)
- H. I, or a member of my immediate family, received a salary or was sole or partial owner of a business entity from which earned income was received, during the reporting period. (If "Yes," complete Schedule H.)
- I. Is additional information set forth on Schedule I? (If "Yes," complete Schedule I.)

	YES	NO
A.		
B.		
C.		
D.		
E.		
F.		
G.		
H.		
I.		



Wicomico County, Maryland

Schedule A – Real Property Interests

Did you have any interest (as an owner or a tenant, including interests in time shares) in real property in Maryland, in any other state or in any other country?

If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)

1. What is the address or legal description of the property?

(Enter the street address, if you know it. If the property is your primary residence, you may enter the lot and the block legal description instead, if you wish.)

Street Address:

City:

State:

Zip Code:

2. What kind of property is it?

(for example Improved: single-family house, leased, apartment, or commercial property,
Unimproved: vacant lot, etc.)

3. Is the Interest held directly by you or is it **attributable** to you?

4. Are you the owner or tenant?

5. Do you hold the **interest** solely, is it jointly held with another, or is **tenancy by the entirety**?

5a. What are the name of the individuals or **entities** and the % of the individuals or entities' interest in the property?

Name of Person or Entity:

Name of Person or Entity:

Name of Person or Entity:

Name of Person or Entity:

Name of Spouse:

6. Are there any legal conditions or encumbrances on the property?
(for example, mortgage, liens, contracts, options, etc.)

6a. What is the name of the lender, creditor, lien holder, etc?

7. What year was the property acquired?

8. How was the property acquired? (For example, purchase, gift, will, etc.)

9. From whom was the property acquired?
(Name of individual or **entity** from who you purchased or inherited the property)

10. What consideration was given when the property was acquired?
(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your **interest** in the property)

Consideration paid or Fair Market Value:

11. Have you transferred any interest in this property during the **reporting period**?

11a. What amount of **interest** was transferred?

11b. What month was the **interest** transferred?

11c. What consideration did you received for the **interest** in the **entity**?
(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value and terms at the time you acquired your interest in the property)

11d. To whom did you transfer your **interest** in the **entity**?

Name of Person or entity:

Do you have any other **interests** in real property in Wicomico County or in any other jurisdiction?



Wicomico County, Maryland

Schedule B – Interests in Corporations and Partnerships

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period whether or not the entity did business with the County?

If Yes; (Answer each question below. A separate Schedule B will be required for each interest you need to disclose.)

1. What is the name of the **entity**? Include the complete name of the **entity**, do not identify solely by trading symbol.
Name:

2. Is the entity publicly traded?

Street Address:

(Only if entity is not publicly traded)

City:

State:

Zip Code:

3. Is the **interest** held directly by you or is it **attributable** to you?

4. Do you hold the **interest** solely or is it jointly held with another?

4a. If held jointly, select the % or dollar value of **interest** held by you or attributable by you.

5. What is the type of **interest** and its dollar value or the number of shares?

(example: notes, bonds, puts, calls, straddles, purchase options, etc.)

Type:

If other, please specify:

Value:

or:

Shares

6. Are there any legal conditions or encumbrances that apply to your **interest** in the **entity**?
(for example, mortgage, liens, contracts, options, etc.)

6a. What is the name of the lender, creditor, lien holder, etc?

7. Did you acquire an **interest** in the **entity** during the **reporting period**?

7a. What month was the **interest** acquired?

7b. How was the **interest** in the **entity** acquired? (For example, purchase, gift, will, etc.)

7c. From whom did you acquire the **interest** in the **entity**?
(if you purchased it from a brokerage, the name of the brokerage)

Name of Person or Entity:

7d. What consideration was given when the **interest** was acquired?
(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your **interest** in the property).

Consideration paid or Fair Market Value:

8. Have you transferred any **interest** in this **entity** during the **reporting period**?

Yes

No

8a. What is the type of **interest**?

If other, please specify:

8b. What amount of **interest** was transferred?

8c. What consideration did you received for the **interest** in the **entity**?

(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value and terms at the time you acquired your **interest** in the property)

8d. To whom did you transfer your **interest** in the **entity**?

Name of Person or entity:

8e. What month was the **interest** transferred?

Do you have any additional **interests** in corporations or partnerships?

Yes

No



Wicomico County, Maryland

Schedule C – Interests in Non-Corporate Business Entities Doing Business with the County

Do you have an interest in any non-corporate business entity (a sole proprietorship) that did business with the County during the reporting period?

Yes

No

If Yes; (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed).

1. Name and address of the principal office of the **business entity**?
(include complete name and full address, including city, state and zip code)

Name:

Street Address:

City:

State:

Zip Code:

2. Is the **interest** held directly by you or is it **attributable** to you?

3. Do you hold the **interest** solely or is it jointly held with another?

3a. If held jointly, select the % of **interest** held by you or attributable by you.

Dollar value of the filer's interest in the entity:

4. Are there any legal conditions or encumbrances that apply to your **interest** in the **entity**?
(for example, mortgage, liens, contract, options, etc.)

Yes

No

4a. What is the name of the lender, creditor, lien holder, etc?

5. Was any **interest** acquired during the reporting year?

Yes

No

5a. What month was the **interest** acquired?

5b. How was the **interest** in the **entity** acquired? (For example, purchase, gift, will, etc.)

5c. From whom did you acquire the **interest** in the **entity**?
(if you purchased it from a brokerage, the name of the brokerage)

Name of Person or Entity:

5d. What consideration was given when the **interest** was acquired?
(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

Consideration paid or Fair Market Value:

6. Did you transfer any of your **interest** during the **reporting period**?

Yes No

6a. What is the type of **interest**?

If other, please specify:

6b. What amount of **interest** was transferred?

6c. What consideration did you received for the **interest** in the **entity**?
(dollar amount paid or, if you received the property as a gift or inherited it, the fair market value and terms at the time you acquired your **interest** in the property)

6d. To whom did you transfer your **interest** in the **entity**?

Name of Person or entity:

6e. What month was the **interest** transferred?

Did you have any other interest in non-corporate business entity
that did business with the County during the reporting period?

Yes No



Wicomico County, Maryland

Schedule D – Gifts

During the reporting period, did you receive any gift(s), directly or indirectly, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from a person or entity who: 1) did business with the County; 2) engaged in an activity that was regulated or controlled by the County; or 3) was a regulated lobbyist? Gifts received from a member of the official's or employee's immediate family, another child, or a parent of the individual, do not need to be disclosed.

Yes

No

If Yes; (Answer each questions below. A Separate Schedule D will be required for each gift.)

1. Who gave you the **gift**?

Name:

2. What kind of **gift** was it?

(for example, cash, restaurant meal, theater ticket, book, etc.)

If other, please describe.

3. What was the value of the **gift**?

Dollar Amount

4. If the **gift** was given to someone else at your direction, list the identity of the recipient of the **gift**.

Name:

Did you receive any other **gift**?

Yes

No



Wicomico County, Maryland
FINANCIAL DISCLOSURE

Schedule E – Offices, Directorships, Salaried Employment and Similar Interests

During the reporting period, did you or any member of your immediate family (spouse or dependent child) have any salaried employment or hold any office or directorship with an entity that did business with the County?

Yes

No

If Yes; (Answer each question below. A separate Schedule E will be required for each disclosure.)

1. What is the name and address of the business **entity**?

Name:

Street Address:

City:

State:

Zip Code:

2. Who was the individual who held the position or **interest** listed above? (example, yourself, spouse, dependent child)

Name:

3. What is the title of the office you, your spouse or your dependent child held?
(for example, limited partner, director, treasurer, chair of the board of trustees, etc.)

Title:

If other, please specify:

4. What year did the position (directorship, salaried employment, etc) begin?

5. With what County agency did the business **entity** do business?

If multiple agencies, please list each individual agency below.

6. What was the nature of the business?

(example, regulated by your agency, registered under the lobbying law, or involved with sales and contracts with the County)

During the **reporting period**, did you or anyone else in your **immediate family** have any other salaried employment or hold any office or directorship with an **entity** that did **business with the County**?

Yes

No



Wicomico County, Maryland

Schedule F – Debts You Owe

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with the County? (NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the County as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.)

Yes No

If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)

1. To whom did you owe the debt? (Do not include consumer credit debts)

Name:

2. When was the debt incurred?

3. What are the interest rate and terms of payment of the debt?

Interest Rate: Terms:

4. Select the appropriate amount to indicate amount of debts as of the end of the **reporting period**. If debt existed during the **reporting period** but was paid in full at the end of the period, select \$0.

5. How much did the principle of the debt increase or decrease during the **reporting period**?

6. Was any collateral given for the debt?

6a. Please select the type of collateral given.

If other, please specify:

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction.

Name:

Transaction:

During the **reporting period**, did you owe any other debt to a financial **entity** that, during the **reporting period**, did **business with the County**?

Yes

No



Wicomico County, Maryland

Schedule G – Family Members Employed by Wicomico County

During the reporting period, were any members of your immediate family (spouse or dependent children) employed by the County in any capacity?

Yes No

If Yes; (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the County.)

1. What is the relation and name of the **immediate family** member employed by Wicomico County?

Name:

2. What is the name of the agency/department that employed the member of your **immediate family**?

If other, please specify:

3. What was the title of your **immediate family** member's position in the County agency/department during the **reporting period**?

Was anyone else in your **immediate family** employed by the County, in any capacity, during the **reporting period**?

Yes

No



Wicomico County, Maryland

Schedule H – Employment/Business Ownership

During the reporting period, did you or any member of your immediate family, receive any earned income from an entity other than Wicomico County? Please note that your dependent child's employment or business ownership does not need to be disclosed unless the place of employment or the business entity is subject to regulation or the authority of your agency or has contracts in excess of \$10,000 with your agency.

Yes No

If Yes; (Answer each question below. A separate Schedule H will be required for each member of the immediate family who had employment or ownership of a business entity.)

1. If, during the **reporting period**, you or a member of your **immediate family** had employment from which you earned income, list the relation, name and address of the employment. You need only report the source of earned income for your dependent child if the entity employing your dependent child was subject to regulation by or did business with the agency.

Relation:

Name of Employer:

Street Address:

City:

State:

2. If, during the **reporting period**, you or member of your **immediate family** wholly or partially owned any **business entity** from which income was earned, list the relation, name and address of the **business entity**.

Relation:

Name of Business Entity:

Street Address:

City:

State:

Zip Code:

Did anyone else in your **immediate family** have any earned income from any employment or **business entity** other than from the County?

Yes

No



Wicomico County, Maryland

Schedule I – Other

Is there any additional information or interest you would like to disclose in the narrative form?

Yes

No

Narrative: